

Please type a plus sign (+) inside box ☒

1-28-02

A

UTILITY

PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No.

VTN-0575

First Inventor

Gregory J. Hoffmann

Title

SHAPE MEMORY POLYMER OR ALLOY OPHTHALMIC  
LENS MOLD AND METHODS OF FORMING OPHTHALMIC  
PRODUCTS

Express Mail Label No.

EL 710608381US

(Only for new nonprovisional applications under 37 CFR  
1.53(b))

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application  
contents.

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
3. ☒ Specification [Total Pages 46]  
(Preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a  
computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 11]
5. Oath or Declaration [Total Pages ]
  - a. ☒ Un-executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
  - i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement  
(IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certifications under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent.
17. ☐ Other

6. ☐ Application Data Sheet. See 37 CFR 1.76

18. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a  
preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-Part (CIP) of prior application No.: 09/649,635, filed August  
28, 2000 and application No. 60/263,986, filed January 24, 2001.

Prior application information: Examiner Group Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an  
oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying  
continuation or divisional application and is hereby incorporated by reference. The incorporation can only be  
relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 000027777 or ☐ Correspondence Address below

Name: Philip S. Johnson, Esq.  
Address: Johnson & Johnson  
One Johnson & Johnson Plaza  
New Brunswick, NJ 08933-7003 USA

20. TELEPHONE CONTACT

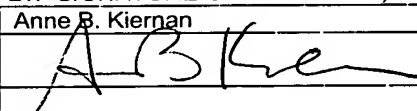
Please direct all telephone calls or telefaxes to at:  
Telephone: (732) 524-2724 Fax: (732) 524-2808

21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME Anne B. Kiernan Reg. No. 36566

SIGNATURE

DATE



<b>FEE TRANSMITTAL</b>	<i>Complete if Known</i>	
	Application Number	
	Filing Date	
	First Named Inventor	Gregory J. Hofmann et al.
	Group Art Unit	
	Attorney Docket Number	VTN-575

## FEE CALCULATION

### CLAIMS AS FILED

(1)	(2)	(3)	(4)	(5)
FOR:	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$740.00
TOTAL CLAIMS	26 - 20 =	0	x 18.00	\$ 108.00
INDEPENDENT CLAIMS	1	0	x 84.00	\$ 0.00
MULTIPLE DEPENDENT CLAIMS	<input type="checkbox"/>	N/A	\$280.00	
			<b>TOTAL FEES</b>	<b>\$ 848.00</b>

### METHOD OF PAYMENT

- ☒ Please charge Deposit Account No. 10-0750/VTN0575/AK in the amount of \$848.00.  
Three copies of this sheet are enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/VTN575/AK. Three copies of this sheet are enclosed.

<b>SUBMITTED BY:</b>		<i>Complete (if applicable)</i>
Typed or Printed Name	Anne B. Kiernan	Reg. No. 36,566
Signature	<i>ABK</i>	Deposit Account No. 10-0750
	Date: 1/24/02	

1005673-012102

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

Applicant: Gregory J. Hofmann, et al.

For : SHAPE MEMORY POLYMER OR ALLOY OPHTHALMIC LENS MOLD  
AND METHODS OF FORMING OPHTHALMIC PRODUCTS

Express Mail Certificate

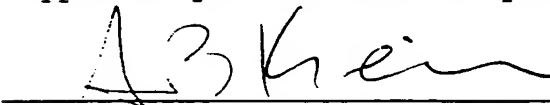
10056773-012405  
"Express Mail" mailing number: EL 710608381US

Date of Deposit: January 24, 2002

I hereby certify that this complete application, including specification pages, claims, informal drawings, and an unexecuted Combined Declaration and Power of Attorney is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Anne B. Kiernan

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)